

Alexa Mittenthal

Compliance Associate

As a Compliance Associate, Alexa supports the CCO in overseeing Gresham's compliance program and works closely with the firm's operations and finance teams.

Alexa assists with the continuous testing and annual review of the compliance program, as well as the presentation of compliance trainings. Additionally, she is responsible for monitoring conflicts of interest, preparing regulatory filings and registrations, and reviewing and approving marketing materials.

Before joining Gresham, Alexa worked as a Diversity, Equity, and Inclusion Data Analyst at the Illinois Power Agency (IPA), ensuring compliance with the agency's "Minimum Equity Standard". Prior to working at the IPA, Alexa worked in compliance in the Investment Management industry.

Outside of work, Alexa is part of a book club, enjoys going to concerts, and loves to travel, most recently visiting the Philippines!



EDUCATION BACKGROUND

- B.S., Psychology, University of Illinois Urbana-Champaign